Docket No. 134997-1



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Mills et al.

**BROMINATION OF HYDROXYAROMATIC COMPOUNDS** 

Serial No.:

10/650,566

Filing Date:

August 28, 2003

Date: November 6, 2003

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

A list of documents on Form PTO-1449 or Substitute together with copies of each [X]1. identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
  - [ ] a. The required Certification made in item 5 below; or
  - [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Martha L. Boden

Date:November 6, 2003

Docket No. 134997-1

[ ] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

- a) The required Certification is stated in item 5 below and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

## [ ] 5. Certification

- [ ] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [ ] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

# [X] 6. Payment of all applicable fees:

- [x] Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 08-1935.
- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

Martha L. Boden Red. No.: 39,115

Date: November 6, 2003

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SUPPLEMENTAL INFORMATION E	Docket No.: 134997-1	Serial No.: 10/650,566
DISCLOSURE CITATION NOV 1 0 2003	Inventor: Mills et. al.	Examiner:
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UNITED STAT	ES PATENT DOCUMEN	TS

Examiner Initial	Document Number	Date	Name	Class	Subcl ass	Filing Date If Appropriate

# FOREIGN PATENT DOCUMENTS

	Document Number	Date Co		Country Int'l Class	Int'l Subclass	Translation	
			Country			Yes	No
						<u></u>	
	(In		ER DOCUME , Title, Date Pert		s, Etc.)		
 CA	K-J Lee et al., "Bromination of Activated Arenes by Oxone® and Sodium Bromide", <i>Bull. Korean Chem. Soc.</i> 22 (5), 773-74 (2002).						
СВ	R. Neumann and I. Assael, "Oxybromination Catalysed by the Heteropolyanion Compound H <sub>5</sub> PMO <sub>10</sub> V <sub>2</sub> O <sub>40</sub> in an Organic Medium: Selective para-Bromination of Phenol", <i>J. Chem. Soc.</i> , <i>Chem. Commun.</i> , 1285-87 (1988).						
СС	U. Bora et al., "Regioselective Bromination of Organic Substrates by Tetrabutylammonium Bromide Promoted by V <sub>2</sub> 0 <sub>5</sub> 0 <sub>2</sub> -H <sub>2</sub> 0 <sub>2</sub> : An Environmentally Favorable Synthetic Protocol", <i>Org. Lett.</i> , 2 (3), 247-49 (2000).						
CD	K. Krohn et al., "Para-Selective Chlorination and Bromination of Phenols with tert-Butyl Hydroperoxide and TiX(OiPR) <sub>3</sub> ", <i>J. Prakt. Chem.</i> 341 (1), 59-61 (1999).						
CE	T. Oberhauser, "A CH <sub>3</sub> CN", J. Org.				nols and Anis	soles: NBS/I	HBF₄·Et₂O l
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.